



Training in compensatory strategies enhances rapport in interactions involving people with Möbius syndrome

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In the exploratory study reported here, we tested the efficacy of an intervention designed to train teenagers with Möbius syndrome (MS) to increase the use of alternative communication strategies (e.g., gestures) to compensate for their lack of facial expressivity. Specifically, we expected the intervention to increase the level of rapport experienced in social interactions by our participants. In addition, we aimed to identify the mechanisms responsible for any such increase in rapport. In the study, five teenagers with MS interacted with three naïve participants without MS before the intervention, and with three different naïve participants without MS after the intervention. Rapport was assessed by self-report and by behavioral coders who rated videos of the interactions. Individual non-verbal behavior was assessed via behavioral coders, whereas verbal behavior was automatically extracted from the sound files. Alignment was assessed using cross recurrence quantification analysis and mixed-effects models. The results showed that observer-coded rapport was greater after the intervention, whereas self-reported rapport did not change significantly. Observer-coded gesture and expressivity increased in participants with and without MS, whereas overall linguistic alignment decreased. Fidgeting and repetitiveness of verbal behavior also decreased in both groups. In sum, the intervention may impact non-verbal and verbal behavior in participants with and without MS, increasing rapport as well as overall gesturing, while decreasing alignment.

Keywords: Möbius syndrome, interaction, gesture, rapport, alignment

Introduction

Möbius syndrome (MS) is a form of congenital facial paralysis – typically complete and bilateral – resulting from maldevelopment of the sixth and seventh cranial nerves (1). MS is extremely rare, occurring in 2–20 births/million (2). Given the centrality of the face for expressing emotions and other mental states, and for communicating other non-verbal social cues, it is natural to expect that people with MS may experience difficulties in their social interactions.

101 Indeed, some studies corroborate these expectations.
 102 One study by Briegel (3) found that people with MS had a
 103 significantly higher incidence of inhibition, introversion, and
 104 interpersonal sensitivity (feelings of inadequacy and inferior-
 105 ity), a lower satisfaction with life, a less pronounced achieve-
 106 ment orientation, and a greater tendency toward psychological
 107 distress. However, in a larger study, Bogart and Matsumoto
 108 (4) compared 37 Americans with MS to 37 matched controls
 109 without facial paralysis and found no differences between
 110 the groups in depression or anxiety, although they did find
 111 that participants with MS had lower social competence. The
 112 picture is, thus, far from clear, and some of the differences in
 113 results may plausibly be attributed to differences in sample
 114 size, measures, or cultural differences in disability stigma (5).
 115 The conclusion to be drawn from these studies is that some
 116 people with MS manage well, whereas others may benefit from
 117 psychosocial support.

118 In order to identify ways of improving the level of comfort
 119 and satisfaction in social interactions of people with MS, it
 120 is important to distinguish several (mutually consistent and
 121 related) reasons why facial paralysis may lead to difficulties in
 122 social interaction, ranging from impairment and processing of
 123 facial expression, to the way this resonates in the interaction and
 124 impacts the interlocutor. First of all, some researchers have specu-
 125 lated that facial mimicry may contribute to social understanding
 126 by playing a central role in the identification of others' emotions
 127 on the basis of their facial expressions (6, 7). The suggestion is that
 128 in order to identify what type of emotion an individual is experi-
 129 encing, it may be necessary to facially mimic, or simulate, their
 130 facial expression. Thus, individuals with deficits in producing,
 131 experiencing, or expressing an emotion may also have a deficit in
 132 the face-based recognition of that same emotion when they see it
 133 in others [e.g., Ref. (6, 8, 9)]. Given the lack of facial expressivity
 134 on the part of people with MS, the simulation model predicts that
 135 they may be impaired at face-based emotion recognition – which
 136 could explain some of the difficulties they experience with social
 137 interaction.

138 There has been some research bearing upon this hypothesis,
 139 so far with mixed results. Most recently, Bate et al. (10) reported
 140 that five of six participants with MS were impaired in at least one
 141 of the three tasks they employed to assess face-based emotion
 142 recognition. However, only one of the participants in this study
 143 was impaired on a task that involved imagining facial expres-
 144 sions of emotions and answering questions about them. As the
 145 authors point out, this is not consistent with the simulation
 146 model. Moreover, in another recent study, Bogart and Matsumoto
 147 (11) compared 37 Americans with MS to 37 matched American
 148 controls without facial paralysis, and found no significant differ-
 149 ence in performance on a face-based emotion recognition task.
 150 The differences between these findings may be due in part to
 151 differences in the methodologies employed in the two studies. It
 152 is possible, for example, that people with MS employ alternative
 153 means for face-based emotion recognition to compensate for
 154 the absence of facial mimicry. If so, one might expect them to
 155 perform equally well if not better than controls on measures, such
 156 as detailed facial processing, but worse on others, for example,
 157 facial processing under time pressure.

158 In any case, several other factors are likely to be equally or even
 159 more important than any deficit in face-based emotion recogni-
 160 tion on the part of people with MS. First, it is highly probable that
 161 *other* people have difficulties in dealing with their interlocutors'
 162 facial paralysis, creating awkward interactions. Since people are
 163 accustomed to receiving information about others' mental states
 164 from their facial expressions, the absence of this expected infor-
 165 mation may interrupt an interaction partners' facial mimicry
 166 and cause him or her to feel uncomfortable or confused about
 167 what the person with MS is thinking or feeling. This conjecture is
 168 corroborated by evidence that individuals with facial movement
 169 disorders, such as MS or Parkinson's disease, are often perceived
 170 as unhappy, unfriendly, depressed, disinterested, or unintel-
 171 ligent (12–15), making others less likely to pursue engagement
 172 and friendships with them (16). In sum, the social difficulties
 173 experienced by many people with MS may lie partially with their
 174 interaction partners without MS, who may for various reasons
 175 feel uncomfortable or confused. Consequently, the smooth flow
 176 of interaction is interrupted through their uncertainty about how
 177 to interact in what is for them a new and sensitive situation. In
 178 turn, children with MS might find themselves in more awkward
 179 and difficult interactions than non-MS children, potentially
 180 impacting the development of their social skills (9, 10, 17)¹, and
 181 perhaps also leading to an impoverishment of their own emo-
 182 tional experience (19).

183 In examining social interactions, it would, thus, be just as naïve
 184 to focus narrowly on the interaction partner with MS as it would be
 185 to focus narrowly on the interaction partner without MS, as their
 186 individual behaviors are not generated in a vacuum, but resonate
 187 with and constrain each other (20, 21). In other words, some of
 188 the most relevant consequences of MS for social interaction may
 189 stem from the effects that the lack of facial expressivity has upon
 190 the dynamics of the interaction itself. After all, people with MS
 191 are deprived of an important means for displaying emotional
 192 appraisal, giving feedback and indicating understanding. Facial
 193 expressivity is, thus, an important component in the continuous
 194 reciprocal adaptations between interlocutors that supports the
 195 alignment and sharing of emotional states, perspectives and
 196 attitudes, and facilitates understanding. Although there is a broad
 197 consensus about the importance of reciprocal facial expressivity
 198 for social interaction and social cognition, there are also many
 199 open questions. To what extent are facial expressions flexibly
 200 coordinated, deliberately controlled and potentially replaced, and
 201 how do they interact with other aspects of interactive behavior,
 202 such as vocal prosody and hand gestures (22–24)? This latter
 203 cluster of questions may be especially relevant for people with
 204 MS, who may benefit by compensating for their limited facial
 205 expressivity with some other form of expressivity.

206 Use of Compensatory Strategies 207

208 Although many people with MS report difficulties in their social
 209 interactions, others clearly are professionally and personally
 210 happy, and have little trouble with social interactions. Bogart
 211

212
 213 ¹For a similar take on language development in infants with autism spectrum
 214 disorder, cf. Warlaumont et al. (18).

et al. (25) suggest that, as observed in many neuropsychiatric conditions (26, 27), some people with MS may have developed compensatory strategies to manage their social interactions. To understand how they achieve this would be valuable, as it would potentially help other people with MS (as well as people with facial paralysis and facial difference arising from other causes) in adopting the compensatory strategies employed by these more socially successful individuals.

Anecdotal evidence suggests that such compensatory strategies likely include expressing oneself more with hand gestures and body language as well as prosody (19). Moreover, in qualitative interviews, many people with MS reported that they deliberately compensated by using eye contact to display confidence, and prosody, body language, and verbal disclosure to express emotion (25, 28, 29). In a behavioral study, Bogart et al. (30) found that people with MS used more of these compensatory expressive behaviors than people with acquired facial paralysis, who may not be as well adapted to their impairment due to the later stage at which they acquired it. Moreover, there is evidence that social skills training programs can benefit people with facial differences who experience problems with social interaction (31). Thus, developing a social skills workshop that promotes the use of alternative channels, such as prosody, gestures, and verbal disclosure, to communicate emotion may benefit people with MS, or other types of facial paralysis, or facial difference.

The conjecture that bodily and prosodic expressivity can substitute for facial expressivity is also supported by the results of a recent study in which Bogart et al. (13) asked participants to judge the emotions of people with facial paralysis based on all communication channels or a limited number of channels (e.g., face only or voice + speech + body, etc.). Results showed that participants were better able to assess the emotions when more communication channels were available, suggesting that they were perceiving in a holistic manner, taking into account information from multiple channels. Furthermore, they formed more positive impressions of individuals who used more compensatory means of expression.

Interactive Alignment and Rapport

In addition to communicating and sharing emotions, an increase in bodily expressivity may support social interaction via *interactive alignment*, which can be defined as the tendency of interaction partners spontaneously to imitate each other's patterns of movement and speech (32). Interactive alignment has been observed in many aspects of interactive behavior from the spontaneous temporal synchronization of movements (33), to expressive and postural mimicry (34, 35), and to multiple dimensions of linguistic coordination (32, 36–38) and has been speculated to subserve important social functions. Alignment has been found to promote rapport, defined as a state of connectedness involving mutual attentiveness, positivity, and responsiveness (39–43), and thereby also increase participants' willingness to cooperate with each other (44, 45). Thus, a possible lack of alignment in interactions involving people with MS – due to the impaired facial expressivity in one interlocutor and/or to general uneasiness – could have significant consequences, leading to lower rapport and coordination with potentially impaired reciprocal understanding.

However, a handful of studies point to a different set of predictions. Borrie and Liss (46) have shown that individuals tend to align their verbal behavior more if one of the interlocutors has a speech impairment. Analogously, in an analysis of psychotherapeutic sessions, Reich and colleagues highlighted the presence of higher prosodic alignment for poorer relationships between therapist and client as well as for greater client distress (47). These findings imply that alignment might decrease after an intervention that successfully reduced the level of social anxiety and the need to compensate for a lack of interactional fluency. In a similar vein, it has been argued that in many forms of interactions, complementary patterns and not just alignment of behavior might be a better predictor of rapport and efficacy of the interaction. In good interactions, the prosodic pattern indicating a question will be followed by the prosodic pattern indicating an answer; an impromptu rising of the voice could be followed by a lowering of the intensity to avoid an emotional escalation; and interlocutors will not repeat each other's words, but contribute new topics to the conversations (20, 21, 24, 38).

In other words, a change in interactive alignment might be crucial in assessing the efficacy of the interaction, but it is unclear whether increased or decreased alignment would be the desired development.

The Current Study

As the foregoing remarks indicate, there are at least three factors that may present challenges to people with MS in social interactions: (1) emotion perception and recognition may be difficult for some people with MS; (2) the absence of facial expressivity can make it difficult for *others* to understand *them*, and can create a cold or disinterested impression; and (3) their facial impairment may interfere with one central medium for interactive alignment processes which can engender rapport.

Insofar, as these three factors may present challenges to people with MS, they also provide three entry points for a social skills intervention designed to help people with MS. We, therefore, incorporated exercises targeting all three factors into an intervention for teenagers with MS. The main aim of the present study was to test the efficacy of this workshop. Specifically, we expected that the level of rapport experienced in social interactions would increase from before the intervention to afterward, as measured by independent coders and by self-report. A further aim of the study was to identify the mechanisms responsible for any such increase in rapport – focusing not only on the behavior of the participants with MS but also on their interaction partners without MS and on the dynamics of the interaction itself. We predicted that all participants would show greater expressivity of their body and voice after the intervention than before, as measured by behavioral coders rating the videos, and also that the level of expressivity would correlate with rapport. Moreover, we hypothesized that interactive alignment would change after the intervention, and that it, too, would correlate with rapport. Either direction of change would be supported by the literature. Consequently, we endeavored to use our findings to further articulate our understanding of interactive alignment.

329 **Materials and Methods**

330 **Design Overview**

331 The present study was built around an intervention designed to
 332 train people with MS to adopt alternative strategies to compensate
 333 for the unavailability of facial expression in social interactions
 334 and to increase social comfort. The experiment was conducted
 335 as a 2-day workshop at the Pindstrup Centre in Denmark, and
 336 was approved by the local chapter of the Danish Research Ethics
 337 Committee. In order to assess possible changes in expressive
 338 behavior and rapport following the intervention, participants
 339 completed dyadic interactions with naïve interlocutors both at
 340 baseline and after the intervention.
 341

342 **Participants**

343 We recruited five teenagers (three females) with MS between 14
 344 and 19 years old (mean age 16.20, SD = 1.92) (henceforth “MS
 345 participants”). We judged that this age group would stand to
 346 benefit most from the social skills intervention, as people with
 347 MS report their teenage years being the most challenging (25,
 348 28). MS participants were observed to have moderate to severe
 349 facial paralysis. They were referred for the study by a physician
 350 (the sixth author of the study, who is a trained neurologist and
 351 pediatrician) who affirmed that they were cognitively able, and all
 352 were attending mainstream school at a level appropriate to their
 353 age. Using a university database as well as word of mouth, we also
 354 recruited 10 people without MS (five females) in the same age
 355 range (mean age 17.70, SD = 2.79). All 10 non-MS participants
 356 were informed that they would be asked to engage in brief, vide-
 357 otaped interactions with teenagers with bilateral facial paralysis.
 358 All participants (and, for those under 18, their parents) gave their
 359 informed written consent. Of the 10 participants without MS
 360 (henceforth “non-MS participants”), five participated on the first
 361 day (before the intervention) and five on the second day (after
 362 the intervention). The reason for this was that if the non-MS par-
 363 ticipants had participated on both days, a confound would have
 364 arisen insofar as our results might have been explained by the
 365 increasing familiarity with the procedure, with the participants
 366 with MS, and with MS in general, rather than by the intervention.
 367 Thus, each MS participant interacted with six different non-MS
 368 participants, and each non-MS participant interacted with three
 369 MS participants. Multiple interactions with different interlocu-
 370 tors were important to enable the individual variability of MS and
 371 non-MS participants to be accounted for in the statistical analysis.
 372

373 **Procedure**

374 **Intervention**

375 The intervention was based on the social skills workshop
 376 developed by the UK organization for people with visible dif-
 377 ferences, *Changing Faces*. A randomized controlled trial found
 378 that burned adolescents who received the intervention were
 379 significantly less withdrawn, had fewer somatic complaints,
 380 and less behavioral problems compared to the control group
 381 at the 1-year follow-up (31). To address the unique expressive
 382 challenges of facial paralysis, the intervention was modified to
 383 encourage the use of compensatory expressive behaviors. The
 384 intervention was designed by the second author and delivered
 385

by the second and fifth authors of the study, both psychologists. 386
 The 2-day group workshop involved instruction (targeting non- 387
 verbal and verbal expression and recognition, conversational 388
 skills), group discussion of personal experiences, role-playing, 389
 group activities, and writing exercises. The first day involved a 390
 group discussion of different modes of expression, including the 391
 face, body, prosody, gesture, posture, backchannel responses, 392
 and style. They were instructed that people typically look to the 393
 face as the primary source of information, but that with MS, 394
 other modes of communication can be used instead. The role of 395
 mimicry in communication was also discussed. Group activities 396
 involved observing and recognizing emotions in themselves and 397
 others. For example, one exercise was a charades game in which 398
 participants had to express and recognize emotions without nam- 399
 ing them. At the end of the first day, participants were assigned 400
 homework to interact with one new person and with a family 401
 member, and to observe their communication skills with each 402
 person. On the second day, they discussed their observations 403
 from their homework assignment. Then, participants discussed 404
 their feelings during social interactions and how others might 405
 feel when meeting them. Communication skills to put others at 406
 ease, including behaving in a friendly, confident manner, were 407
 trained. In a writing assignment, participants prepared several 408
 ways to quickly explain MS to others and shared them with the 409
 group. Additionally, participants generated personal examples of 410
 social situations that were successful and that were challenging. 411
 They then role-played the challenging situations and discussed 412
 what could have been done differently. The workshop manual is 413
 available upon request. 414
 415

416 **Dyadic Interactions**

417 During test-phase interactions, the participants with MS were
 418 paired with non-MS interlocutors. Pairing was randomized and
 419 blocked to ensure that participants of similar ages were paired
 420 (the maximum age difference was 2 years). In order to elicit
 421 emotional communication in a naturalistic way, the interlocutors
 422 were instructed to tell each other about recent, enjoyable experi-
 423 ences. Each MS participant went through six interactions in total,
 424 three before the intervention and three afterward. Each interac-
 425 tion lasted approximately 6–8 min and was considered as a whole.
 426 Participants sat in armless chairs to allow for unrestricted arm
 427 movement. There were two video cameras per dyad; one to record
 428 each participant.
 429

430 **Measures**

431 In order to assess the impact of intervention on the quality of the
 432 interactions, we employed multiple measures of rapport as well as
 433 verbal and non-verbal behaviors in all interactions before and after
 434 the intervention. Based on previous research involving behav-
 435 ioral coding of compensatory expression of people with facial
 436 paralysis, fidgeting, and head and face movements were expected
 437 to be particularly relevant non-verbal behaviors (30). Pitch and
 438 speech rate were chosen amongst the most commonly reported
 439 measures of prosodic coordination in conversations, and for their
 440 reported correlation with rapport and efficacy of interpersonal
 441 coordination (21, 47–49). We also administered questionnaires
 442 to assess the social competence (50) and social anxiety (51) of MS

443 participants at three points: immediately before the experiment,
 444 immediately afterward, and 6 months later.

445 **Rapport**

446 All participants completed self-report rapport scales (52) after
 447 each interaction. An example item is “did you feel in rapport
 448 with him or her?” Responses to items were averaged, with scores
 449 ranging from 1 to 6, with 6 indicating higher rapport. To measure
 450 observed rapport, the two video recordings from each interaction
 451 were synced and spliced together using Adobe Premiere Pro CS6
 452 with a handclap as a sync point. Thus, each interlocutor could be
 453 viewed at the same time in a split screen. Based on the method
 454 of Bernieri (41), five behavioral coders who were blind to the
 455 hypotheses viewed these videos with the sound turned off and
 456 rated the shared liking of the dyad on a scale from 1 to 5. Inter-
 457 rater reliability (Chronbach’s α) was 0.70.

459 **Non-Verbal Behaviors**

460 Five behavioral coders blind to the hypotheses watched the vid-
 461 eos and scored the quality, intensity, and frequency of gestures
 462 and bodily cues used by both interaction partners. The coding
 463 system employed in Bogart et al. (30) was used to measure the
 464 expressivity of individuals with facial paralysis and of their inter-
 465 action partners. Coders rated the following four items: gesture
 466 ($\alpha = 0.86$), fidgeting ($\alpha = 0.78$), head movements ($\alpha = 0.68$), and
 467 facial expressivity ($\alpha = 0.93$). Ratings were performed on a 1–5
 468 scale, with higher numbers indicating more expressivity.

470 **Verbal Behaviors**

471 Pitch and speech rate were extracted from the audio recordings
 472 of the conversations employing a semi-automated process. First,
 473 a research assistant blind to the hypotheses carefully watched
 474 the videos and manually tagged onsets and offsets of the inter-
 475 locutors’ speech turns to ensure accurate speaker attribution.
 476 The manual tags were then adjusted to a 10-ms precision scale
 477 through an automated analysis of pitch presence/absence and
 478 intensity changes using Matlab (Mathworks Inc.). We extracted
 479 pitch (fundamental frequency, Hertz) within normal human
 480 voice range (70–400 Hz) and intensity (decibel) at a 10 ms scale
 481 using Praat (53) and corrected for artifactual octave jumps. We
 482 then calculated speech rate as estimated syllables per minute. To
 483 do so, we first isolated all utterances by interlocutor, excluding
 484 speech overlapping, and individuated voiced peaks in intensity
 485 as proxies for vowel onsets according to the procedure in de Jong
 486 and Wempe (54). In order to be able to capture shared dynamics
 487 between the two interlocutors, we needed uniformly sampled
 488 time-series. The vowel onsets were, therefore, converted to

500 estimated syllables per minute sampled every 333 ms, following
 501 the validated procedure in Wallot et al. (55).

502 **Analysis**

503 **Impact of Intervention on Rapport**

504 We chose two measures of rapport: the mean of self-reported
 505 rapport from the two interlocutors, and rapport as assessed by
 506 the coders. First, we measured the relation between self-reported
 507 rapport in interlocutors using a mixed effect correlation with
 508 identity of the individual interlocutors as random factor, and we
 509 tested the impact of intervention by comparing the base model
 510 with a model including a before/after intervention fixed factor
 511 (56). We then measured the impact of the intervention on rapport
 512 by employing a mixed-effects multivariate model with random
 513 intercepts with before/after intervention as fixed factor and the
 514 identity of the individual interlocutors as random factors (random
 515 intercepts only, as the models would generally not converge with
 516 random slopes). Multiple testing was corrected using Student-
 517 Newman-Keuls corrections. We report estimates of effect size
 518 of the overall model (R^2) and of the fixed factors (R^2 marginal),
 519 following the procedure described in Nakagawa and Schielzeth
 520 (57). Estimates and confidence intervals of the descriptive statis-
 521 tics were calculated via bootstrapping 1000 times, stratifying by
 522 interlocutor identity. The mixed-effects models were calculated
 523 using the lme4 package for R (58).

524 **Impact of Intervention on Individual Behaviors**

525 First we assessed the impact of intervention on individual behav-
 526 iors: gesture, fidgeting, facial expressivity, head movement, pitch
 527 SD, speech rate mean and SD. Pitch mean was not employed, as it
 528 would vary greatly according to the gender and age of the partici-
 529 pants. We employed 2-by-2 repeated measures models with two
 530 fixed factors (MS vs. non-MS and before vs. after intervention).
 531 Interlocutors’ identity was defined as a random factor (random
 532 intercepts only, as the models would generally not converge
 533 with random slopes). Estimates and confidence intervals of the
 534 descriptive statistics were calculated via bootstrapping 1000 times,
 535 stratifying by interlocutor identity. Again the mixed effect models
 536 were calculated using the lme4 package for R. Descriptive statis-
 537 tics of individual behaviors before and after intervention divided
 538 by group are available in the Supplementary Information **Table 1**.

541 **Impact of Intervention on Alignment**

542 In order to assess the presence of coordination of non-verbal
 543 behaviors between interlocutors, we employed mixed effect mod-
 544 els evaluating the relation between the coded levels (e.g., gesture)
 545 in the two interlocutors, using interlocutor identity as a random

490
 491 **TABLE 1 | Impact of intervention on rapport.**

493 Rapport	494 Before intervention	495 After intervention	496 Difference	497 R^2	498 R^2 marginal	499 P-value
500	501	502	503	504	505	506
507	508	509	510	511	512	513
514	515	516	517	518	519	520
521	522	523	524	525	526	527
528	529	530	531	532	533	534
535	536	537	538	539	540	541
542	543	544	545	546	547	548
549	550	551	552	553	554	555
556	557	558	559	560	561	562
563	564	565	566	567	568	569
570	571	572	573	574	575	576
577	578	579	580	581	582	583
584	585	586	587	588	589	590
591	592	593	594	595	596	597
598	599	600	601	602	603	604
605	606	607	608	609	610	611
612	613	614	615	616	617	618
619	620	621	622	623	624	625
626	627	628	629	630	631	632
633	634	635	636	637	638	639
640	641	642	643	644	645	646
647	648	649	650	651	652	653
654	655	656	657	658	659	660
661	662	663	664	665	666	667
668	669	670	671	672	673	674
675	676	677	678	679	680	681
682	683	684	685	686	687	688
689	690	691	692	693	694	695
696	697	698	699	700	701	702
703	704	705	706	707	708	709
710	711	712	713	714	715	716
717	718	719	720	721	722	723
724	725	726	727	728	729	730
731	732	733	734	735	736	737
738	739	740	741	742	743	744
745	746	747	748	749	750	751
752	753	754	755	756	757	758
759	760	761	762	763	764	765
766	767	768	769	770	771	772
773	774	775	776	777	778	779
780	781	782	783	784	785	786
787	788	789	790	791	792	793
794	795	796	797	798	799	800
801	802	803	804	805	806	807
808	809	810	811	812	813	814
815	816	817	818	819	820	821
822	823	824	825	826	827	828
829	830	831	832	833	834	835
836	837	838	839	840	841	842
843	844	845	846	847	848	849
850	851	852	853	854	855	856
857	858	859	860	861	862	863
864	865	866	867	868	869	870
871	872	873	874	875	876	877
878	879	880	881	882	883	884
885	886	887	888	889	890	891
892	893	894	895	896	897	898
899	900	901	902	903	904	905
906	907	908	909	910	911	912
913	914	915	916	917	918	919
920	921	922	923	924	925	926
927	928	929	930	931	932	933
934	935	936	937	938	939	940
941	942	943	944	945	946	947
948	949	950	951	952	953	954
955	956	957	958	959	960	961
962	963	964	965	966	967	968
969	970	971	972	973	974	975
976	977	978	979	980	981	982
983	984	985	986	987	988	989
990	991	992	993	994	995	996
997	998	999	1000			



effect. This enabled us to assess whether the actual interaction created statistically significant alignment between interlocutors. Second, we assessed whether intervention changed the strength of the matching. To achieve this, we examined whether the addition of before/after intervention as an additional fixed factor in the model would statistically improve it and influence the direction of the impact. The more fine-grained nature of our linguistic data enabled us to assess not only the presence of behavioral matching but also whether it was due to the actual dynamics of interaction as opposed to the general structure of conversation. We, therefore, employed cross recurrence quantification analysis (CRQA) (59), a non-linear and more flexible analog of cross correlation, which quantifies shared dynamics between time-series and has been shown to be more sensitive to the dynamics of interpersonal coordination [Ref. (60); for a comprehensive review of the application of CRQA to interpersonal coordination, cf. Ref. (20, 38)]. Relying on the time-series produced by each interlocutor (e.g., a sequence of estimated speech rate regularly sampled over time), CRQA reconstructs the phase space of possible combinations of states and quantifies the structure of recurrence, that is, of the number of instances in which the two time-series are displaying similar dynamics and the characteristics of these instances. This generates several indexes of fundamental frequency and speech rate coordination:

- Level of coordination: defined as the percentage of values that recur (are present) in both time-series independently of the lag [recurrence rate (RR)]
- Stability of coordination, articulated in tendency of recurrence to happen in sequences (as opposed to isolated points being repeated, DET), average length of sequences repeated across time-series (L), and length of longest repeated sequence (LMAX)
- Complexity of coordination: defined as low if all repeated sequences are of the same length, high if repeated sequences vary in length [entropy (ENTR)], thus suggesting that coordination is flexible and not mechanical imitation.

We then tested whether the intervention impacted the level of coordination employing mixed-effects models as in the previous analysis. CRQA was performed using the CRP toolbox for

Matlab. Estimates and confidence intervals of the descriptive statistics were calculated by bootstrapping 1000 times, stratifying by interlocutor identity. The mixed-effects models were calculated using the lme4 package for R.

Relation Between Rapport and Interactional Behaviors

Finally, we assessed the relation between interactional behaviors and rapport. In particular, we attempted to statistically predict self-reported and observer-coded rapport as a function of our measures of verbal and non-verbal interactional behaviors, including measures of alignment. This enabled us to assess (i) how well the behavioral indexes could predict experience of the interaction, (ii) whether employing multiple behavioral indexes adds to our ability to assess the interaction or they all express the same underlying dynamic, and (iii) whether our results would be sensitive to individual baselines, or generalize across participants. To obviate the issues due to the large number of features, for each measure of rapport we used a fivefold cross-validated process in which we first selected a limited amount of minimally overlapping features using ElasticNet (62, 63) and then ran a multiple regression, calculating the accuracy of the model using adjusted R square (Adj R^2) (63). The cross-validation process ensured that the accuracy of the model was calculated only for test datasets on which the model had not been trained. Cross-validation was performed at the level of the interlocutors, meaning that the model was never tested on conversations including interlocutors on which the model was trained. These analyses were performed using the statistics and bioinformatics toolboxes for Matlab.

Results

Impact of Intervention on Rapport

Self-reported rapport was positively correlated between interlocutors: $R^2 = 0.42$ (CI: 0.26, 0.57), with fixed factor accounting for a marginal R^2 of 0.17 (CI: 0.03, 0.41), $p = 0.001$. The correlation did not statistically change due to intervention ($p = 0.61$). Self-reported rapport did not statistically change after intervention, while the coder-assessed rapport showed a significant increase (cf. Table 2).

TABLE 2 | Main effect of MS participants vs. non-MS participants on individual behaviors.

Feature	MS	Non-MS	Difference	R^2	R^2 marginal	P-value
Gesture ($n = 15$ interlocutors)	1.72 (CI: 1.63, 1.77)	1.93 (CI: 1.78, 2.08)	0.21 (CI: 0.03, 0.38)	0.26	0.14	0.013
Fidget ($n = 15$ interlocutors)	2.31 (CI: 2.20, 2.41)	2.70 (CI: 2.66, 2.90)	0.29 (CI: 0.08, 0.66)	0.08	0.06	<0.001
Facial expressivity ($n = 15$ interlocutors)	1.56 (CI: 1.36, 1.75)	3.10 (CI: 2.78, 3.42)	1.54 (CI: 1.17, 1.92)	0.90	0.07	0.04
Head movement ($n = 15$ interlocutors)	2.42 (CI: 2.20, 2.62)	2.55 (CI: 2.27, 2.84)	0.13 (CI: 0.17, 0.44)	0.06	≈ 0	0.42
Pitch SD ($n = 15$ interlocutors)	67.78 (CI: 56.80, 77.21)	69.01 (CI: 60.19, 78.15)	1.53 (CI: -3.39, 5.61)	0.35	≈ 0	0.23
Speech rate mean ($n = 15$ interlocutors)	147.10 (CI: 125.79, 163.88)	150.02 (CI: 132.25, 161.51)	2.81 (CI: -9.02, 13.10)	0.11	≈ 0	0.27
Speech rate SD ($n = 15$ interlocutors)	114.78 (CI: 103.43, 120.57)	120.86 (CI: 114.62, 124.66)	6.40 (CI: -1.73, 13.34)	0.08	0.06	0.024

Analysis of Individual Behaviors

We observed significant main effects for both MS vs. non-MS and before vs. after intervention on individual behaviors (for full descriptive statistics, cf. **Table 1**). Non-MS participants exhibited higher degrees of gesturing, and facial expressivity as well as fidgeting (but not head movements). They also exhibited a less variable speech rate (cf. **Table 3**).

After intervention, we observe a statistical increase of gesturing, facial expressivity, and head movements in both groups of interlocutors. Speech rate slowed down, but it exhibited higher variability (cf. **Table 4**).

We observed non-significant trends for the interaction between the two factors (intervention and MS) in speech rate variability, facial expressivity, gesture, and fidgeting.

After the intervention, MS participants (compared to non-MS) displayed higher gesturing (mean difference: 0.58, CI: 0.21 0.95, R^2 marginal = 0.15, $p = 0.0003$) and facial expressivity (mean difference: 0.28, CI: 0.17 0.53, R^2 marginal = 0.01, $p = 0.029$), while non-MS displayed lower fidgeting (mean difference: -0.35 , CI: -0.76 0.05, R^2 marginal = 0.06, $p = 0.05$), and higher speech rate variability (mean difference: 6.33, CI: 3.81 8.84, R^2 marginal = 0.09, $p < 0.0001$).

Impact of Intervention on the Structure of Interactional Behavior

We observed a significant correlation between the interlocutors' facial expressivity, and a marginally significant correlation for gesture. The correlations for fidgeting and head movements were not significant (cf. **Table 5**). These correlations did not change statistically due to intervention ($p > 0.28$).

We observed a statistical decrease in linguistic coordination after the intervention (cf. **Table 6**)².

Möbius syndrome participants' responses to the questionnaires probing social competence and social anxiety revealed no

²These results are not simply due to a change in individual behaviors (e.g., increase in individual variability). To rule these out, we tested surrogate pairs (matching interlocutors from different conversations) before and after intervention and used their level of coordination as baseline for the real pairs. Even in this, more conservative analysis alignment decreased after intervention.

TABLE 3 | Main effect of before vs. after intervention on individual behaviors.

Feature	Before intervention	After intervention	Difference	R^2	R^2 marginal	P-value
Gesture ($n = 15$ interactions)	1.82 (CI: 1.65, 2.01)	2.44 (CI: 2.21, 2.62)	-0.57 (CI: -0.85 , -0.26)	0.33	0.15	<0.001
Fidget ($n = 15$ interactions)	2.54 (CI: 2.36, 2.74)	2.30 (CI: 2.09, 2.54)	0.30 (CI: 0.02, 0.59)	0.17	0.12	0.005
Facial expressivity ($n = 15$ interactions)	2.31 (CI: 2.05, 2.62)	2.53 (CI: 2.21, 2.81)	-0.27 (CI: -0.58 , 0.03)	0.21	0.14	<0.001
Head movement ($n = 15$ interactions)	2.36 (CI: 2.21, 2.56)	2.61 (CI: 2.46, 2.76)	-0.25 (CI: -0.46 , 0.03)	0.20	0.11	0.01
Pitch SD ($n = 15$ interactions)	40.54 (CI: 37.02, 43.87)	43.80 (CI: 40.05, 47.73)	-3.26 (CI: -9.61 , 3.12)	0.07	≈ 0	0.16
Speech rate mean ($n = 15$ interactions)	150.43 (CI: 134.45, 162.83)	137.19 (CI: 114.36, 155.83)	13.67 (CI: 2.07, 24.55)	0.23	0.11	<0.001
Speech rate SD ($n = 15$ interactions)	114.87 (CI: 104.13, 121.22)	120.66 (CI: 115.89, 124.21)	5.29 (CI: 0.90, 7.43)	0.31	0.05	<0.001

significant differences across the three points (immediately before the experiment, immediately afterward, and 6 months later).

Relation Between Interactional Behaviors And Rapport

Based on interactional behaviors, we can statistically predict coder-assessed rapport. Amount (RR) and stability (L and LMAX) of speech rate coordination were selected by ElasticNet as the minimal set of significant, combined predictors and explained 28% of the variance of rapport: Adj $R^2 = 0.28$, $p = 0.0007$. In other words, the lesser the coordinated and stable speech rate dynamics, the higher the coder-assessed rapport. Self-reported rapport could not be statistically predicted.

Discussion

Summary of the Results

Our findings suggest that the intervention had an impact on individual expressive behavior and behavioral alignment. Observer-coded rapport was statistically higher after the intervention, though not self-reported rapport. The increase in observed rapport provides initial support for the prediction that the deliberate employment of compensatory expressive strategies by people with MS can enhance the rapport that they – and their interaction partners – experience in social interactions.

Both interlocutors displayed higher non-verbal expressivity and a more varied speech rate after the intervention. MS participants in particular showed a more marked increase in gesturing and facial expressivity, while non-MS participants showed a more marked decrease in fidgeting and their speech rate became more varied. Matching of non-verbal behavior between interlocutors did not statistically change, while linguistic alignment – statistically present throughout the whole testing – decreased after intervention. Most importantly, the change in alignment seems to be related to the change in experience of the interaction. Externally rated rapport (but not self-reported rapport) was shown to be most strongly – and negatively – correlated with speech rate alignment.

Although these findings generally corroborate the predictions we had articulated, there are several points that merit careful

TABLE 4 | Descriptive statistics of individual behaviors before and after intervention by group.

Feature	MS pre-intervention	MS post-intervention	NMS pre-intervention	NMS post-intervention
Gesture ($n = 15$ interlocutors)	1.93 (CI: 1.71, 2.14)	2.55 (CI: 2.38, 2.70)	1.72 (CI: 1.59, 1.85)	2.32 (CI: 2.17, 2.49)
Fidget ($n = 15$ interlocutors)	2.79 (CI: 2.62, 2.94)	2.69 (CI: 2.54, 2.81)	2.31 (CI: 2.17, 2.44)	1.91 (CI: 1.78, 2.06)
Facial expressivity ($n = 15$ interlocutors)	1.54 (CI: 1.48, 1.60)	1.65 (CI: 1.61, 1.70)	3.09 (CI: 2.97, 3.21)	3.40 (CI: 3.20, 3.56)
Head movement ($n = 15$ interlocutors)	2.36 (CI: 2.19, 2.54)	2.59 (CI: 2.49, 2.67)	2.56 (CI: 2.47, 2.65)	2.65 (CI: 2.50, 2.79)
Pitch SD ($n = 15$ interlocutors)	42.07 (CI: 36.68, 47.72)	40.95 (CI: 35.59, 46.41)	45.16 (CI: 39.61, 51.32)	40.16 (CI: 35.50, 45.42)
Speech rate mean ($n = 15$ interlocutors)	151.65 (CI: 130.81, 173.82)	141.96 (CI: 104.41, 167.76)	144.82 (CI: 119.81, 158.83)	150.68 (CI: 123.87, 171.11)
Speech rate SD ($n = 15$ interlocutors)	118.74 (CI: 107.42, 133.22)	112.66 (CI: 88.38, 145.20)	122.57 (CI: 117.47, 132.56)	119.87 (CI: 105.63, 123.88)

TABLE 5 | Mixed effects correlations between interlocutors' behaviors.

Feature	R	R^2	Marginal R^2	P
Gesture ($n = 30$ interactions)	0.16 (CI: -0.04, 0.36)	0.65	0.03	0.071
Fidget ($n = 30$ interactions)	0.06 (CI: -0.21, 0.33)	0.55	0.003	0.57
Facial expressivity ($n = 30$ interactions)	0.27 (CI: 0.08, 0.46)	0.84	0.07	0.0088
Head movement ($n = 30$ interactions)	0.14 (CI: -0.06, 0.32)	0.87	0.001	0.78

consideration: the lack of results for self-reported rapport, the decrease in linguistic alignment associated with increased rapport, and the limited sample size of the study. We shall now briefly discuss each of these as well as several possibilities for further research that are suggested by our findings.

The Effect of Social Norms on Self-Reported Rapport

It is interesting to note that *self-reported rapport* did not statistically increase with intervention, and could not be correlated with interactional behaviors, while externally coded rapport could. Personal experience is a crucial dimension to investigate in interactions, and self-report often provides useful information [e.g., Ref. (64)]. However, self-report is often influenced by social norms and by various forms of self-deception (65). One possibility is that both interlocutors may have rated the interaction positively because of politeness norms. Indeed, self-reported rapport was relatively high regardless of whether the intervention had occurred. Non-verbal behavior is considered less susceptible to social desirability and more indicative of internal states because it is more difficult to consciously control than verbal reports (66, 67). So, although more research is needed on getting reliable self-report of rapport, the finding that observer-rated rapport and expressivity improved, and that we could relate changes in alignment to higher observer-rated rapport, suggests we accomplished the more difficult task of improving implicit intergroup rapport.

Higher Rapport is Related to Decreased Interactive Alignment

We observed a significant decrease in both pitch and speech rate alignment, but no significant change in non-verbal alignment,

and this difference might be due to differences in the dynamics of different behavioral modalities. However, a more plausible explanation lies in the difference in the methods employed to quantify the behaviors and to assess the alignment. Verbal behavior was extracted as a temporal sequence, and analyzed for shared dynamics between interlocutors. Non-verbal behavior was aggregatively assessed in terms of quantity (e.g., of gesture) and analyzed for analogous amounts between interlocutors. The first procedure is more sensitive, and we endeavor to apply analogous measures to non-verbal behavior in future work.

As to the decrease in linguistic alignment and its positive relation to rapport, the finding resonates with a series of recent studies (20, 21, 38, 46, 47, 68) associating alignment with struggles to repair disfluent interactions. Thus, higher alignment in pre-intervention interactions might be an index of higher levels of social impairment, which would decrease after intervention. In support of this interpretation, we observe that both interlocutors – and non-MS participants in particular – show lower degrees of fidgeting and more variance in speech rate after intervention. This could be indicative of a decrease in awkwardness and nervousness. Higher alignment (in the form of more similar temporal dynamics) before intervention might be due partly to awkward and repetitive behaviors.

In sum, our findings add to the growing literature contributing to a richer articulation of current models of alignment: alignment seems to be built upon multiple mechanisms and not to relate in a straightforward fashion to rapport and fluent interactions. It follows that instead of being conceptualized as a simple and automatic mechanism, alignment should be carefully situated in the context of the interaction and its goals and related to the characteristics of the individual behaviors of the interlocutors (20, 38).

Limitations

The current findings should be considered as pointers for further studies. The small number of available participants with MS in the target age group in Denmark made it very difficult to increase the sample size and to include a control group. We employed conservative statistics accounting for repeated measures and individual variability to better assess effect size uncertainty, and when assessing the relation between behavior and rapport we employed cross-validation to maximize generalizability of the results. However, additional and possibly larger studies are necessary to properly assess the findings, as effect sizes and

TABLE 6 | Impact of intervention on linguistic coordination.

Feature	Before intervention	After intervention	Difference	R ²	Marginal R ²	P
Pitch RR (n = 15 interactions)	0.05 (CI: 0.04, 0.06)	0.04 (CI: 0.03, 0.05)	-0.01 (CI: -0.03, -0.001)	0.37	0.08	0.008
Pitch DET (n = 15 interactions)	0.9 (CI: 0.89, 0.92)	0.87 (CI: 0.85, 0.90)	-0.03 (CI: -0.06, -0.01)	0.23	0.05	0.067
Pitch L (n = 15 interactions)	5.51 (CI: 4.84, 6.29)	5.02 (CI: 4.33, 5.87)	-0.49 (CI: -1.51, -0.56)	0.05	0.003	0.47
Pitch LMAX (n = 15 interactions)	190.97 (CI: 95.38, 356.78)	164.10 (CI: 101.08, 259.93)	-26.87 (CI: -90.23, 5.72)	0.0001	0.0001	0.90
Pitch ENTR (n = 15 interactions)	2.31 (CI: 2.06, 2.56)	2.14 (CI: 1.9, 2.37)	-0.17 (CI: -0.35, -0.01)	0.003	0.003	0.52
Speech rate RR (n = 15 interactions)	0.17 (CI: 0.11, 0.23)	0.05 (CI: 0, 0.11)	-0.06 (CI: -0.12, -0.001)	0.19	0.04	0.009
Speech rate DET (n = 15 interactions)	0.77 (CI: 0.60, 0.95)	0.38 (CI: 0.22, 0.54)	-0.29 (CI: -0.45, -0.14)	0.29	0.25	<0.001
Speech rate L (n = 15 interactions)	10.94 (CI: 5.76, 16.12)	2.65 (CI: -2.19, 7.49)	-4.15 (CI: -7.42, -0.88)	0.12	0.12	0.009
Speech rate LMAX (n = 15 interactions)	71.57 (CI: 48.85, 100.29)	6.50 (CI: -20.37, 33.37)	-21.78 (CI: -47.72, -4.16)	0.06	0.06	0.04
Speech rate ENTR (n = 15 interactions)	2.28 (CI: 1.83, 2.72)	0.99 (CI: 0.58, 1.4)	-0.88 (CI: -1.31, -0.44)	0.26	0.26	<0.001

consequently power analyses are known to be unreliable with small samples (69). Thus, our study provides initial results on which to build future studies, but will have to be re-evaluated in the light of their findings, e.g., via a meta-analysis. Additionally, it is not possible to rule out the possibility that some of our results may be due to a confound, namely that the participants with MS become more confident and/or comfortable because they expected to benefit from the social skills workshop (i.e., rather than because of what they specifically learned and practiced in the workshop), or because they became more comfortable with the procedures as the experiment progressed. We used a different group of non-MS participants on day 2 in order to rule out the possibility that the interactions would run more smoothly simply because non-MS participants became familiar with the procedure. However, as previously argued, individual behaviors resonate in the interaction, making it difficult to clearly separate MS from non-MS participants' behaviors. A follow-up study, including a control group, would be highly desirable.

Implications and Directions for Future Research

Practically speaking, the findings that the intervention may have increased compensatory expressivity and behavioral rapport suggest that this program could be valuable in improving social communication for people with MS. Given that the observed behavioral changes were not accompanied by changes in self-reported social competence or social anxiety, it is possible that participants were not conscious of these changes (65). With long-term intervention and with follow-up, perhaps participants' self-perception of their social skills would improve.

More generally, the intervention may be useful for people with other conditions resulting in facial paralysis, such as Bell's palsy, Ramsey-Hunt syndrome, stroke, and acoustic neuroma. Indeed, because people who acquired facial paralysis after birth

have been found to use less compensatory expression than people with MS (30), these individuals may especially benefit from the intervention. Craniofacial conditions, such as cleft lip and palate, hemifacial microsomia, and facial burns, have long been noted to result in social difficulty. The social challenges associated with these conditions have been generally thought to derive from interlocutors' responses to an esthetically different face. However, these conditions can distort facial features, skin, or muscles and impair the ability to produce recognizable facial expressions, which may exacerbate social interaction problems. Many other conditions result in reduced expressivity, including depression, schizophrenia, autism, and Parkinson's disease. Although social functioning difficulty has long been noted in these conditions, there is a paucity of interventions focused on improving expression. As suggested by the current research, increased expression could improve rapport, leading to increased social comfort and belonging.

The decrease in fidgeting and increase in speech rate variability on the part of non-MS participants in our study provides support for the conjecture that some of the difficulties experienced by people with MS in social interactions may arise from other people's discomfort or uncertainty about how to behave. In other words, people without MS who interact with people with MS may interrupt the smooth flow of interaction through their uncertainty about how to interact in what is for them a new and sensitive situation. Along these lines, social impairment might not be due simply to an impairment, but in important ways also to the context through which the disability is perceived and reacted upon in interactions.

Finally, it would be highly valuable for further research to explore the question as to whether some compensatory strategies (e.g., use of hand gestures, eye contact, and prosody) are more easily automated than others. If there are such differences among the degrees to which different strategies can be automated, this could be important for three concrete reasons. First of all,

1013 it may be taxing and distracting to employ deliberate strategies
 1014 for expressing oneself in social interactions, and people may,
 1015 therefore, find it tiring, and be less likely to continue doing it
 1016 [cf. Ref. (19)]. Second, it may be important for some expressive,
 1017 interactive processes that they occur without people's aware-
 1018 ness. Attempting to bring them about deliberately may actually
 1019 interfere with the automatic processes that generally bring them
 1020 about, and could even be counterproductive if it appears forced
 1021 or unnatural. Third, it would be important for future social skills
 1022 workshops to examine whether some compensatory strategies
 1023 are more effectively taught indirectly, or whether some easily
 1024 automated processes may trigger others. In other words, rather
 1025 than telling participants to use more gestures or prosody, it may
 1026 be possible to lead them to do so by some other means which
 1027 does not require them to deliberately attend to their gestures or
 1028 prosody, for example, by using more gestures and prosody when
 1029 interacting with children with MS, by asking them to watch
 1030 videos in which actors are highly expressive in their gestures and
 1031 prosody, or by engaging them in role-playing games in which a
 1032 high level of gesture and/or prosody is appropriate.

1033 Conclusion

1034 The findings reported here provide evidence that a social skills
 1035 workshop for teenagers with MS can help to increase the level
 1036 of rapport they achieve in social interactions. They also provide
 1037 insight into the mechanisms underlying the increase in rapport.
 1038 Specifically, the workshop appears not only to have increased the
 1039 level of expressivity of the participants with MS but also to have
 1040 led indirectly to an increase in expressivity, and to a decrease
 1041 in fidgeting and other nervous behavior, in their interaction

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1070 partners without MS. These results support the idea that one
 1071 important way of improving social interactions for people with
 1072 MS (and perhaps for individuals with facial impairment owing
 1073 to other causes, or with other forms of disability) is to help them
 1074 to find ways to communicate clearly and put their interaction
 1075 partners at ease. In addition, the observed decrease in align-
 1076 ment after the intervention, and the negative relation between
 1077 rapport and alignment provides constraints for theorizing about
 1078 the mechanisms and functions of alignment processes in social
 1079 interactions.

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