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Genetic risk factors for cancer-related cognitive impairment: A systematic review

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Abstract

Background: Cancer-related cognitive impairment (CRCI) is a commonly reported complaint among non-CNS cancer patients. Even subtle CRCI may have detrimental effects on quality of life and identifying patients at increased risk for CRCI to improve survivorship care is important. In the present paper, we systematically reviewed available studies of possible genetic risk factors for developing CRCI.

Methods: Keyword-based systematic searches were undertaken on July 24, 2018 in PubMed, Web of Science, The Cochrane Library, and CINAHL. Three authors independently evaluated full-texts of identified papers and excluded studies with registration of reasons. Seventeen studies reporting results from 14 independent samples were included for review. Two authors independently quality assessed the included studies. The review was preregistered with PROSPERO (CRD42018107689).

Results: Ten studies investigated apolipoprotein E (APOE), with four studies reporting that carrying at least one risk allele (APOE4 (ϵ 4)) was associated with CRCI, while six studies found no association. The remaining identified genetic risk variants associated with CRCI located in: *COMT*, four DNA repair genes, five oxidative stress genes, 22 genes related to breast cancer phenotype, and *GNB3*. No associations were found between CRCI and genes coding for interleukin-6 (*IL6*), tumor necrosis factor alpha (*TNF*), interleukin 1 beta (*IL1B*), and brain-derived neurotropic factor (*BDNF*). With the exception of *APOE*, the genetic risk factors had only been investigated in one or two studies each.

Conclusion: Overall, the available evidence of possible genetic risk factors for CRCI is limited.

While some research suggests a role for the ϵ 4 allele, the literature is generally inconsistent, and the

currently available evidence does not allow clear-cut conclusions regarding the role of genetic factors in the development of CRCI. Larger genetic studies and studies investigating additional genetic variants are needed to uncover genetic risk factors for CRCI.

Keywords: Cancer-related cognitive impairment, neuropsychological function, genetic risk factors, polymorphisms, cancer, late effects.

Funding: None.

Introduction

Advancements in treatment regimens, including chemotherapy (CT), radiation therapy, and endocrine therapy, have greatly improved cancer disease survival rates [1]. The treatments, however, often have substantial side effects. Cognitive impairment is a commonly reported side- or late effect among patients treated for non-central nervous system cancers [2]. While the available research has mainly focused on cognitive impairment following CT, emerging evidence suggests that the cancer disease itself, surgical procedures, and endocrine therapy may also impact cognition [3], leading to the adoption of the term cancer-related cognitive impairment (CRCI).

Estimated prevalence rates of CRCI vary considerably, but longitudinal evidence suggests that up to 40% of cancer patients may exhibit CRCI already prior to treatment, up to 75% develop CRCI during treatment, and up to 60% exhibit CRCI after completion of treatment [4]. Patients who evidence CRCI prior to treatment may not necessarily be the ones who subsequently evidence CRCI during or after treatment, which could indicate that the underlying mechanisms across treatment stages differ [4, 5]. Although severity of CRCI is typically mild to moderate [5], even subtle impairment may have detrimental effects on daily functioning, including poorer occupational and social functioning, reduced ability to return to work, and impaired overall quality of life [6]. To improve survivorship care, promote well-informed treatment decisions, and optimize patients' ability to cope with CRCI, it is critical to identify patients at increased risk for CRCI.

Evidence suggests that cancer and cancer treatment may promote biological processes associated with neurological aging, including oxidative stress, inflammation, DNA damage, and compromised DNA repair [7]. Due to these shared pathways, CRCI has been conceptualized as an accelerated process of aging [2, 8], and special interest has been directed toward genes involved in these

biological pathways. Of genes relevant to neurological ageing, the most commonly investigated is perhaps *APOE*, which encodes apolipoprotein ϵ , a complex glycolipoprotein of importance to neuronal repair [9]. One *APOE* allele, the ϵ_4 , is strongly associated with late onset Alzheimer's disease and a well-known risk factor for age-related cognitive decline [10]. Other candidate genes include *BDNF* encoding brain-derived neurotrophic factor, which is important for neuronal repair [11], and *COMT* encoding catechol-O-methyltransferase, which is implicated in neurotransmitter degradation and regulation of prefrontal dopamine levels [12, 13]. *BDNF* is the most widely distributed neurotrophin in the brain and has been studied extensively in relation to cognitive aging, neurodegenerative, and mental disorders; however, it remains unclear whether genetic variants in the gene increase susceptibility or confers protection against cognitive impairment [14]. More is known about *COMT* for which functional outcomes of several single-nucleotide polymorphisms (SNPs) have been characterized. One example is the Val158Met polymorphism. It is known that Val carriers metabolize dopamine faster than Met carriers and therefore have less availability of this neurotransmitter, which could be associated with increased risk for cognitive impairment [11]. While several studies have investigated these and other candidate genes as potential risk factors for CRCI, no systematic review has yet been published. Our aim was therefore to systematically review and narratively synthesize the available evidence concerning genetic risk factors for CRCI.

Methods

The review was preregistered with PROSPERO [15] (Registration number: CRD42018107689) and conducted and reported in accordance with the PRISMA-recommendations [16].

Search strategy and selection criteria

Keyword-based systematic searches were undertaken on July 24, 2018 in PubMed, Web of Science, The Cochrane Library, and CINAHL (See [Supplementary Table S1](#)), using the following keywords: Population: cancer OR neoplasm OR oncol*, independent variable: genetic OR "single nucleotide" OR "polymorphism" OR "genes" OR "genotype" OR "alleles" OR "genomics", and dependent variable: "cognitive impairment" OR cognition OR neuropsych*. When possible, search terms were included as MeSH-terms or MeSH-term equivalents in each database. No publication date restriction was applied. Study eligibility was determined using an adapted version of the PICO approach [17]: Population: Studies of adults (≥ 18 years) in treatment or previously treated for non-CNS cancer; Independent variable: Studies presenting data on genetic polymorphisms of hypothesized relevance to cognition/cognitive impairment; Comparator: Studies comparing data on the dependent variable according to variations in genotype; Outcome: Studies presenting pre- and/or post-treatment data on cognitive functioning as assessed with neuropsychological tests. Eligible study designs were randomized and nonrandomized controlled trials, prospective and retrospective controlled cohort studies, case-control studies, and cross-sectional studies. Only records written in English and published in a peer-reviewed journal were included. Case reports, narrative reviews, "grey literature", e.g., conference abstracts, dissertations, and unpublished studies were excluded. Three authors (CRB, AA, RZ) independently evaluated full-texts of identified papers and excluded studies with registration of reasons. Additional records were identified through forward searches (citation tracking) and backward searches of reference lists (snowballing).

Data extraction and synthesis

Data extracted included: a) study setting and design, b) sample characteristics (number of patients, cancer type, gender, age), c) comparison group characteristics, d) assessment time points, e) independent variable characteristics (assessed genotype(s)), f) dependent variable characteristics (neuropsychological tests used). Study quality was evaluated using the National Institutes of Health (NIH) Quality Assessment Tool for Observational Cohort and Cross-sectional Studies [18]. Two authors (CRB, ERN) independently scored each included study and subsequently discussed and resolved discrepancies. Study characteristics and findings for each of the investigated genetic variations are summarized and reviewed narratively. When sufficient data were available, we calculated odds ratios (ORs) and associated confidence intervals (95% CIs).

Results

The study selection process is shown in [Figure 1](#). Following duplicate removal, a total of 913 records remained, of which 876 were excluded during title- and abstract screening. Thirty-seven records were left for full-text screening. Twenty records were excluded (see [Supplementary Table S2](#)) yielding 17 papers reporting results from 14 independent samples to be included in the review. The three authors agreed on all (100%) inclusion/exclusion decisions.

Study characteristics

The characteristics of the included studies are shown in [Table 1](#). Ten studies reported results on the influence of *APOE* genotypes on cognitive functioning in cancer patients. Six focused on breast cancer (BC) patients [19-24], one reported on both BC and lymphoma patients [25], and three reported on other patient groups [26-28]. The remaining seven studies reported results from studies

investigating other genes than *APOE*, e.g., *COMT* [24, 29] and *BDNF* [14, 24], with five of these studies focusing on BC patients [14, 29-32], one on prostate cancer patients [33], and one on patients with mixed non-CNS cancers [34]. See [Table 2](#) for overview of genotypes and patients.

[Insert Table 1 and 2 near here]

Study quality assessment of included studies

Using the NIH Quality Assessment Tool [18], each study received a quality rating (range: 0-14). Eight studies [14, 19, 20, 23, 25, 26, 27, 33] were rated “good quality” (>9 criteria met), and the remaining nine studies [21, 22, 24, 28-32, 34] were rated “fair quality” (5-9 criteria met). Study quality ratings are shown in [Supplementary Table S3](#). Eleven studies (65%) failed to provide information about the dates during which recruitment occurred and eight (47%) failed to specify the location of recruitment. Only five studies (29%) provided information about participation rates of eligible participants, of which only two met the criteria of 50%. With respect to a priori sample size justification, only two studies [27, 28] provided this. Of the nine prospective studies, five failed to report follow-up rates, and two had dropout rates greater than 20%. The remaining criteria were generally met in most studies.

APOE genotypes

The three *APOE* isoforms ($\epsilon 2$, $\epsilon 3$, $\epsilon 4$) are encoded by the genotypic combination of two SNPs (rs429358, rs7412) [35] and studies assessing associations between these and CRCI categorized patients into non-carriers or carriers of at least one $\epsilon 4$ allele. Four studies [19, 20, 25, 26] showed that carrying at least one $\epsilon 4$ allele was associated with some degree of CRCI, while six studies [21-24, 27, 28] found no association. Of the studies finding $\epsilon 4$ to be a risk factor for cognitive

impairment, three were longitudinal and administered neuropsychological tests before, during and/or after further treatment. In the most recent study [26], testicular cancer patients carrying $\epsilon 4$ (33%) showed statistically significant decline in overall cognitive performance compared to non-carriers following CT. In an earlier longitudinal study [20] with BC patients treated with aromatase inhibitors (AI) alone or in combination with CT, $\epsilon 4$ carriers (28%) in both treatment groups showed statistically significant impaired verbal learning and memory before treatment and after treatment in addition to statistically significant decline in visual learning and memory during treatment. Results from this study also showed impaired executive functioning before treatment in $\epsilon 4$ carriers scheduled for AI without CT. In addition, this study revealed statistically significant interaction effects between $\epsilon 4$ and treatment in the form of more decline in executive functioning and attention in BC $\epsilon 4$ carriers vs. non-carriers 12 months following treatment with AI alone without CT. No difference between carriers and non-carriers was seen in those who were treated with AI combined with CT [20]. Finally, a third longitudinal study [19] revealed a moderating effect of smoking on the effect of $\epsilon 4$, with $\epsilon 4$ being a risk factor for decline in processing speed and working memory only in BC patients without a smoking history ($\epsilon 4$ carriers=23%). The number of genotyped study participants in these three longitudinal studies ranged from 61 to 166. The remaining cross-sectional study [25] revealed that carrying at least one $\epsilon 4$ allele (21%) was associated with impairments in visual memory and spatial ability several years after CT in BC and lymphoma patients (N=80). As seen in [Table 1](#), effect sizes (odds ratios) varied considerably between studies ranging from OR=1.42 (95%CI: 0.81-2.49) to 12.7 (95%CI: 5.26-27.67). In general, the largest effects were seen for the interaction effects between $\epsilon 4$ carrier ship and treatment with AI and smoking history, respectively.

Of the six studies finding no association between *APOE* genotypes and CRCI, two were longitudinal, investigating BC [23] and colorectal cancer patients [27], respectively (N=190

and 243, respectively) and only the latter of these reported percentage of $\epsilon 4$ allele carriers (23%). Four cross-sectional studies failed to find an association between $\epsilon 4$ and cognitive impairment (carriers: 18-23%; average N=246). Two studies included BC patients treated with CT [22, 24], one study included older (>60 years) BC patients assessed prior to adjuvant treatment [21], and one study included colorectal cancer patients assessed prior to adjuvant treatment [28]. Unfortunately, none of the six studies reporting null-findings provided sufficient data for calculating effect sizes.

COMT and BDNF

Two cross-sectional studies found *COMT* variants to be significantly associated with CRCI in BC patients following CT [24, 29]. In one study, *COMT* Val carriers (rs4680) had poorer performance on tests of motor speed, attention, and verbal fluency compared with *COMT* Met carriers, with effect sizes ranging from OR=1.73 (95%CI:1.17-2.57) to 1.87 (95%CI:1.26-2.78) (Table 1). In addition, *COMT* Val was shown to interact with CT to induce poorer performance in attention tests in CT-treated *COMT* Val carriers compared with non-treated *COMT* Val carriers (OR=5.63, 95%CI:1.95-16.28) [29]. In contrast, no association between the rs4680 and cognitive functioning was found in the second study. This study, however, reported statistically significant associations between cognitive functioning and another *COMT* SNP (rs165599), with homozygous G individuals being at increased risk for cognitive decline compared with heterozygous and homozygous A individuals [24]. Importantly though, these latter results did not appear to have been corrected for multiple testing. One cross-sectional [25] and one longitudinal [14] study investigated a variant (rs6265) in *BDNF*, but found no statistically significant associations of rs6265 with post-CT cognitive impairments in BC patients.

Genes associated with DNA damage and oxidative stress

One cross-sectional [30] and one longitudinal follow-up study [23] investigated associations of four DNA repair genes and five oxidative stress genes, with CRCI in BC patients recruited from the same parent study and scheduled for treatment with AI and/or CT. Neuropsychological test scores were combined into eight cognitive factors. Prior to adjuvant treatment, each cognitive factor was significantly associated with one or more DNA repair or oxidative stress gene SNPs. A multi-polymorphism genetic risk score (GRS) was calculated for each cognitive factor to evaluate the collective effect of possessing multiple identified risk SNPs. A lower GRS was taken to indicate greater risk and a higher GRS indicated lower risk of cognitive impairment. Results revealed that each GRS was significantly associated with its respective cognitive factor, indicating that the higher the genetic protection, the better the cognitive performance [30]. In the follow-up study [23], group-based trajectory modelling was used to investigate trajectories of three cognitive factors, i.e., executive functioning, concentration, and visual working memory. Three distinct executive functioning trajectory subgroups were identified: low pretreatment performance that remained low (low), pretreatment performance slightly below the norm that improved linearly (moderate), and high performance that improved and then declined (high). Results showed that the minor allele of SNPs in three DNA repair genes (*PARP1* (rs2271347), *ERCC3* (rs4150402), and *ERCC5* (rs751492)) increased the odds of belonging to the executive functioning low trajectory subgroup. Similar findings of increased odds of belonging to various trajectory subgroups were found for various DNA repair and oxidative stress genes in the domains of concentration and visual working memory (Table 1). Effect sizes varied considerably with the lowest risk seen for an executive functioning trajectory subgroup (OR=0.29, 95%CI: 0.10-0.82) and the largest risk seen for a concentration trajectory subgroup (OR=8.26, 95%CI: 1.34-50.98). Taken together, these results provide preliminary evidence that genetic variation in DNA repair and oxidative stress genes may impart differential risks for trajectories of cognitive functioning during treatment.

Genes associated with inflammation

Two studies investigated associations of CRCI with variants located in genes coding for pro-inflammatory cytokines often found increased in cancer patients [36], i.e., interleukin-6 (*IL6*), tumor necrosis factor alpha (*TNF*), and interleukin 1 beta (*IL1B*). One study investigated SNPs in *TNF* and *IL6* in BC patients treated with CT and revealed no statistically significant associations with prospectively assessed CRCI [32]. Likewise, in the second study, no associations were shown between cross-sectionally assessed CRCI and two variants *IL1B* (rs16944, rs1800587), in a mixed sample of non-CNS cancers [34].

Other genes

Two studies investigated other genes. A cross-sectional study of newly diagnosed BC patients revealed that all but three out of 25 genes related to BC phenotypes were significantly associated with at least one of eight cognitive factors by either a main effect and/or an interaction effect between genotype and prescribed treatment [31]. Another study investigated 353 SNPs previously found to be associated with cognitive impairment, depression, fatigue, or circadian rhythm as possible moderators of prospectively assessed CRCI in prostate cancer patients [33]. The results revealed that only one of these SNPs, rs104776, located in *GNB3*, was significantly associated with impaired cognitive performance over time in patients receiving androgen deprivation therapy (ADT), but not in the matched non-ADT prostate patients group. While the homozygous G genotype for rs104776 was associated with increased cognitive impairment in ADT patients, heterozygosity and A-allele homozygosity was associated with decreased cognitive impairment from diagnosis to follow-up in both the group of ADT patients and in the whole non-ADT prostate cancer control group (OR=14, 95%CI: 2.97-66.09).

Discussion

To our knowledge, the present review represents the first systematic review of genetic risk factors for CRCI. The most extensively studied risk gene was *APOE* with ten identified studies, and while there was some longitudinal evidence to support the association between the risk allele ($\epsilon 4$) and changes in cognitive functioning, the evidence was characterized by inconsistent findings. For the remaining identified genes with hypothesized relevance for CRCI, evidence exists to suggest that the *COMT* gene, four DNA repair genes, five oxidative stress genes, and 22 genes related to BC phenotype either increase the risk for or protect against CRCI. No associations were found for variants in *BDNF*, *TNF*, *IL6*, and *IL1B*. Importantly though, each of these remaining identified genes was only investigated in one or two studies. Overall, the available evidence thus appears inconsistent and sparse.

Regarding *APOE*, the results of three longitudinal studies [19, 20, 26] together with a cross-sectional study [25] support the hypothesis that the $\epsilon 4$ allele may act as a risk factor for CRCI during both CT and endocrine treatment, and that this effect may last several years after treatment termination. Common to the longitudinal studies were findings of statistical interactions of *APOE* genotype with treatment type [20, 26] or smoking history [19], suggesting that the effect of $\epsilon 4$ may be moderated by the biological effects of the treatment, the biologic characteristics of the cancer that determine treatment regimen, and by life-style factors such as smoking. When comparing the four studies that revealed an association between *APOE* and CRCI with the six studies that did not, the only clear-cut methodological between-study differences were related to sample sizes and percentage of $\epsilon 4$ carriers. While the sample sizes were substantially smaller in the four studies that revealed an association (mean $N=109$ vs. 248 in the studies that revealed no association), the

percentage of individuals with at least one $\epsilon 4$ allele was larger in these studies (mean=26% vs. 20% in the studies that revealed no association). The larger sample sizes in the studies with null-findings could be taken to suggest that the results from these were more robust than the results of the studies with positive findings. On the other hand, the higher percentage of carriers in the studies that found an association could suggest that these were more sufficiently statistically powered to detect a difference between carriers and non-carriers. Unfortunately, studies reporting no statistical significant association between $\epsilon 4$ carrier ship and CRCI did not provide sufficient data to determine effect sizes and statistical power. When examining longitudinal studies separately, one study that failed to find an association [23] differed from the three studies that did by investigating associations between *APOE* genotypes and *trajectories* of three cognitive factors rather than composite scores on several cognitive domains. Regarding the four cross-sectional studies that found no associations between the *APOE* and CRCI, these mainly differed from the cross-sectional study that did [25] by investigating cognitive functioning either shortly after diagnosis [21, 28] or within two years from surgery [22, 24], while the study that found an association had investigated this on average nine years after CT. This could suggest that the detrimental effect of the *APOE* $\epsilon 4$ allele may be more pronounced over longer periods of time following treatment, perhaps due to that the $\epsilon 4$ allele is associated with less effective neuronal repair and neuronal growth after injury [9]. Globally, the frequency of *APOE* $\epsilon 2$, $\epsilon 3$, and $\epsilon 4$ alleles is estimated to be 0.07, 0.79 and 0.14, respectively [37], and in individuals with European ancestry ~28% is estimated to carry one $\epsilon 4$ allele, and ~2% are $\epsilon 4$ homozygotes [38]. It should be noted that the studies investigating $\epsilon 4$ as a risk factor for CRCI all dichotomized groups into non-carriers vs. carriers of at least one $\epsilon 4$ allele. While the dichotomization is probably due to the low frequency of $\epsilon 4$ homozygotes in the included studies, for example in the study by Vardy et al. [27] only two of 243 patients and three of 70 healthy controls were $\epsilon 4$ homozygotes, studies with healthy elderly have reported a gene dose-

response relationship showing that $\epsilon 4$ homozygotes have faster age-related cognitive decline compared with $\epsilon 4$ heterozygotes [39]. It would thus be of interest to investigate whether a similar gene dose-response relationship exists in cancer patients. Taken together, the evidence on the role of genetic variation in *APOE* is limited and inconsistent, and findings of detrimental effects of the $\epsilon 4$ allele on cognition clearly need to be replicated in large-scale prospective studies.

The available evidence concerning other potential genetic risk factors for CRCI is even sparser. Two studies [24, 29] examined the role of *COMT*, with one showing that *COMT* SNP rs4680 Val carriers were at increased risk for CRCI [29] (estimated frequency of individuals of European ancestry having at least one allele =0.75 [38]) and the other [24] failing to replicate this. Instead, this study reported an association between CRCI and another *COMT* SNP, rs165599, with G homozygotes being at increased risk for CRCI (frequency of G allele homozygotes in individuals with European ancestry =0.21 [38]). Two studies showing associations between DNA repair genes, oxidative stress genes, and cognitive factors included patients from the same parent study and were thus not independent [23, 30]. With the exception of the *BDNF* rs6265 polymorphism, where two studies found no association [14, 24], the remaining genes were each investigated in one study only.

Strengths and limitations

Strengths of the present review include a comprehensive and systematic literature search conducted in four different databases as well as the inclusion of multiple possible genetic risk factors for CRCI. A limitation could be that a meta-analysis of the identified results was not undertaken. However, the identified studies were too heterogenic regarding the assessed genotypes, cancer and treatment types, and assessment time points to allow for quantitative analysis.

The study quality assessment highlighted several methodological strengths of the reviewed studies, including clearly stated research questions and hypotheses and the adjustment for important covariates. Furthermore, with few exceptions [14, 24, 32, 34], studies generally adhered to recommendations from the International Cancer and Cognition Task Force (ICCTF), which has worked to harmonize selection of tests used to operationalize CRCI [40]. The quality assessment, however, also revealed shortcomings. First, studies generally failed to justify sample sizes with statistical power analyses. This may be reasonable given that analyses of genetic risk factors for CRCI are often exploratory in nature. However, as many sample sizes were small, this could indicate that the sample sizes were insufficient to detect a true association, especially because CRCI most likely is polygenic and under influence of many variants with low effect sizes in line with what has been demonstrated for large studies of cognition-related traits in general (e.g. [41]). Second, several studies failed to provide information about the participation rates of eligible participants, raising the possibility that the study population may not adequately represent the target cancer population. A third issue relates to follow-up rates. Only little more than half of the prospective studies provided information on follow-up, and less than half of these met the 80% criterion [18] raising the possibility that the observed estimate may be biased (e.g., by patients with poorer clinical outcomes being more prone to drop out). A fourth issue relates to the operationalization of CRCI. While studies generally followed recommendations for neuropsychological testing, between-study differences were observed regarding how neuropsychological scores were calculated (e.g., raw scores, standardized z scores, or composite domain scores) and the cognitive domains the tests were claimed to measure. For example, one study [23] classified “Rey’s Complex Figure: Immediate and delayed recall test” [42] as part of a visual working memory factor, although this test is commonly classified as measuring visuospatial ability and/or visuospatial learning and memory and/or executive functioning [43]. Fifth, most

studies included Caucasian participants only, limiting the generalizability of the results to more diverse populations. Sixth, the majority of the available studies, i.e., twelve out of seventeen, investigated breast cancer patients, and there is a need for studies investigating genetic risk factors for CRCI in other cancer populations. Finally, nine studies reporting no statistically significant associations between genes and CRCI failed to report effect sizes or sufficient data to allow estimation, thus limiting the interpretability of results.

Summary and recommendations for future research

In summary, the available literature on the association of genetic variants in candidate genes with CRCI is sparse and inconsistent and does not allow for clear-cut conclusions regarding the role of genetic factors in the development of CRCI. While there is some evidence to tentatively suggest that individuals having at least one *APOE* $\epsilon 4$ allele may be at an increased risk for CRCI, more research is clearly needed to corroborate these findings. Regarding other potential risk variants, the available results do not allow for tentative conclusions due to lack of studies. Future studies should investigate this further while also exploring other potential genetic risk factors associated with CRCI, e.g., genes associated with telomere length and cell senescence [7]. Despite the limitations of the available evidence, the identification of potential genetic risk factors for CRCI should be of high priority as it would make the detection of patients at an increased risk for CRCI possible in order to provide necessary psycho-social support and to further develop effective preventive interventions.

Disclosure statement

The authors have no conflicts of interest to report.

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Table 1. Study characteristics

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
Ahles et al. 2003	Breast/ Lym- phoma	CT ⁺	Women	80 (80)	55.9 (8.8)	Cross- sectional	<i>APOE</i>	8.8 (4.3) yrs since last treatment.	Motor functioning; Psychomotor function; Attention (Accuracy and reaction time); Verbal ability; Verbal learning: Verbal memory; Spatial ability; Visual memory.	Carrying at least one $\epsilon 4$ allele was associated with impairments in visual memory (OR=2.48 (1.08-5.72)) and spatial ability (OR=2.25 (0.98-5.15)).
Ahles et al. 2014	Breast	CT ⁺ Matched CT ⁻ (mostly endocrine)	Women	60 (55) 72 (68)	51.9 (7.1) 56.8 (8.3)	Prospec- tive	<i>APOE</i>	Prior to CT, after S. FU 1, 6, and 18 mo. after CT.	Reaction time; Processing speed; Distractibility; Working memory; Verbal ability; Verbal memory; Visual memory; Sorting; Block design.	Moderating effect of smoking on <i>APOE</i> so that $\epsilon 4$ was a risk factor for decline in processing speed (OR=9.61 (3.01-30.71)) and working memory (OR=1.42 (0.81- 2.49)) only in patients who did not have a smoking history.
Amidi et al. 2016	Testi- cular	CT ⁺ CT ⁻ Matched HC	Men	22 (22) 44 (39) 25 (0)	31.9 (9.4) 39.6 (10.1) 32.8 (11.1)	Prospec- tive	<i>APOE</i>	Prior to CT >30 days after S. FU 6 mo. after CT.	Reaction time; Processing speed; Attention and working memory; Executive function; Verbal Fluency; Auditory learning and memory;	Statistical interaction effect between $\epsilon 4$ and CT on overall cognitive performance with $\epsilon 4$ carriers performing more poorly (OR=3.11 (1.05-9.24)).

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
									Premorbid intellectual functioning.	
Bender et al. 2018 (Same sample as Koleck et al. 2016; 2017)	Breast	CT+ AI AI HC	Women	261 (both patient groups) (190)	60.2 (6.15) (whole sample)	Prospective	<i>APOE</i> DNA repair genes: <i>ERCC2</i> , <i>ERCC3</i> , <i>ERCC5</i> , <i>PARP1</i> Oxidative stress genes: <i>CAT</i> , <i>GPX1</i> , <i>SEPP1</i> , <i>SOD1</i> , <i>SOD2</i>	Prior to CT, after S. FU 6, 12, and 18 mo. after AI start.	Concentration; Visual working memory; Executive function.	The minor allele of SNPs in <i>PARP1</i> (rs2271347), <i>ERCC3</i> (rs4150402), and <i>ERCC5</i> (rs751492) increased the odds of belonging to the low executive functioning trajectory subgroup (i.e., low performance at pretherapy that remained low) (ORs=3.87 (1.49-10.03), 2.75 (1.14-6.64), and 0.29 (0.10-0.82), respectively). Carriers of at least one minor allele of rs1050450 in <i>GPX1</i> and rs4150407 <i>ERCC3</i> were at increased odds of belonging to the high and low concentration trajectory subgroup, respectively (i.e., high and stable vs. low and stable) (ORs=5.83 (1.43-23.71), and 8.26 (1.34-50.98)). Finally, G homozygous for the minor allele of rs873601 in <i>ERCC5</i> had increased odds of belonging to the low visual working memory trajectory

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
										subgroup (i.e., low and improving) (OR=3.84 (1.16-12.69)). No association reported between <i>APOE</i> and trajectories (OR: data unavailable).
Chae et al. 2016	Breast	CT	Women	125 (125)	50.3 (8.8)	Prospective	<i>IL6-174</i> , <i>TNF-308</i>	Before CT. FU 6 and 12 wks after CT start.	Response speed; Processing speed; Attention; Memory.	No statistically significant association between <i>IL6-174</i> and attention (OR=1.004, (0.51-1.91)), memory (OR=1.002 (0.51-1.97)), processing speed (OR=1.010 (0.52-1.98)), or response speed (OR=1.002 (0.52-1.98)). No statistically significant association between <i>TNF-308</i> and any cognitive measures (OR: data unavailable).
Cheng et al. 2016	Breast	3x negative Non-3x negative	Females	80 (80) 165 (165)	48.8 (10.6) 49.4 (10.6)	Prospective	<i>APOE</i> , <i>COMT</i> , <i>BDNF</i>	Prior to CT, after S. FU after CT (not specified).	Short-term memory; Verbal fluency; Global cognitive functioning (MMSE).	Association between cognitive functioning and <i>COMT</i> SNP (rs165599), with homozygous G individuals being at increased risk for short-term memory decline compared with heterozygous and homozygous A individuals. No impact of <i>APOE</i> and <i>BDNF</i> on cognitive functioning (OR: data unavailable).

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
Gonzalez et al. 2016	Prostate	ADT Matched patients (S) Matched HC	Men	58 (58) 84 (84) 88 (88)	67.1 (8.9) 67.7 (7.4) 69.1 (8.0)	Prospective	<i>GNBR3</i> (384 SNPs measured, 31 excluded)	Within 21 days of ADT start. FU after 6 and 12 mo.	Attention; Executive function; Verbal memory; Visual memory; Cognitive reserve.	<i>GNB3</i> rs104776 G homozygotes treated with ADT was at increased risk for cognitive impairment from diagnosis to follow-up compared with G heterozygotes and A homozygotes in both the ADT and non-ADT patient group (OR=14 (2.97-66.09)).
Koleck et al. 2014	Breast	CT + AI AI Matched HC	Females	37 (37) 41 (41) 50 (50)	59.31 (5.7) (Whole sample)	Prospective	<i>APOE</i>	<u>For CT+ AI:</u> Prior to CT (T0), prior to AI (T1), 6 mo. after AI (T3) <u>For AI:</u> Prior to AI (T0), 6 mo. after AI (T1), 12 mo. after AI (T3) FU 6 mo. after start of AI.	Psychomotor speed; Attention; Executive function; Visuospatial ability; Learning and memory.	<u>Cross-sectional:</u> ε4 carriers were at increased risk for poorer verbal learning and memory compared to non-carriers in both groups at T0 (OR=4.23 (2.17-8.53)) and T1 (OR=4.26 (2.13-8.52)). ε4 carriers prescribed AI were at increased risk for poorer executive functioning compared to non-carriers at T0 (OR=7.05 (3.42-14.51)) and T1 (OR=12.39 (5.66-27.11)). <u>Longitudinal:</u> ε4 carriers were at increased risk for decline in visual learning and memory from T1 to T2 regardless of treatment (OR=3.34 (1.58-6.64)). ε4 carriers prescribed AI were at increased

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
										risk for decline in visual learning and memory from T0 to T2 (OR=11.84 (5.19-26.98)) and in attention from T1 to T2 (OR=12.07 (5.26-27.67)).
Koleck et al. 2016 (Same sample as Bender et al. 2018 & Koleck et al. 2017)	Breast	CT + AI	Females	55 (55)	58.8 (5.5)	Cross-sectional	<i>CAT, GPXI, SEPP1, SOD1, SOD2, ERCC2, ERCC3, ERCC5, PARP1</i> (39 SNPs)	Prior to CT, after S.	Psychomotor function; Concentration; Attention; Mental flexibility; Executive function; Verbal memory; Visual working memory; Visual memory.	All cognitive domains except from mental flexibility were associated with one or more genes by either SNP main effects and/or SNP x group interaction. <i>ERCC5</i> influenced cognition most globally (see [30] for data).
Koleck et al. 2017 (Same sample as Bender et al. 2018 & Koleck et al. 2016)	Breast	CT + AI	Females	55 (55)	58.8 (5.5)	Cross-sectional	<i>AURKA, BAG1, BCL2, CCNB1, CD68, CENPA, CMC2, CTSL2, DIAPH3, ERBB2, GRB7, GSTM1, MELK, MK167, MYBL2,</i>	Prior to CT and/or AI, after S.	Psychomotor speed; Attention; Concentration; Executive function; Mental flexibility; Verbal memory; Visual working memory; Visual memory.	With the exception of <i>CMC2, MMP11,</i> and <i>RACGAP1</i> , all genes were associated with at least one cognitive factor by either a main effect and/or an interaction effect between genotype and prescribed treatment (see [31] for data).

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
							<i>NDC80, ORC6, PGR, RACGAP1, RFC4, RRM2, SCUBE2</i> (131 SNPs)			
Mandelblatt et al. 2014	Breast	Stages 0 – I	Females	106 (106)	68.0 (6.7)	Cross-sectional	<i>APOE</i>	Prior to CT and/or RT after S.	Attention, working memory, and processing speed domain;	No statistical significant difference in patients and controls in neuropsychological performance scores when stratified by <i>APOE</i> genotype (OR: data unavailable).
		Stages II-III		58 (58)	68.4 (6.8)				Executive function; Language; Learning and memory domain;	
		Matched HC		182 (182)	67.3 (6.5)				Visual-spatial domain.	
Ng et al. 2016	Breast	Scheduled to CT	Females	145 (145)	50.8 (8.8)	Prospective	<i>BDNF</i>	Prior to CT, after S. FU: 6 and 12 wks. after CT start.	Processing speed; Response speed; Memory and attention.	No statistical significant association between genotype and neuropsychological test scores (ORs: 0.59-1.65).
Peila et al. 2016	Hematological (71%)/ Solid	CT ⁺	24 M/ 25 F	49 (49)	49.0 (14)	Cross-sectional	<i>IL1β-511</i>	15 (23) mo. after start of CT.	Attentional-executive functions; Language; Phonemic fluency; Orientation; Verbal memory;	No statistical significant association between genotype and neuropsychological deficits (OR: data unavailable).
		CT ⁻	22 M/ 14 F	36 (36)	58.0 (13)					

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
	tumors (29%)								Visual-spatial skills; Global Cognition.	
Small et al. 2011	Breast	CT RT HC	Females	58 (58) 72 (72) 204 (204)	51.2 (8.6) 56.9 (51.2) 57.1 (9.5)	Cross-sectional	<i>COMT</i>	6.16 (1.24) mo. after start of CT.	Motor speed; Attention; Verbal fluency; Complex cognition, Intellectual ability.	Compared with Met homozygotes, <i>COMT</i> SNP rs4680 Val carriers were at increased risk of poorer composite cognitive performance (OR=2.02 (1.36-2.00)), and of poorer performance on tests of motor speed (OR=1.61 (1.08-2.39)), attention (OR=1.73 (1.17-3.57)), and verbal fluency (OR=1.87 (1.26-2.78)). A statistical interaction effect was found between group and CT on attention with Val carriers performing more poorly (OR=5.63 (1.95-16.28)).
Vardy et al 2014 (Same sample as Vardy et al. 2015)	Colo-rectal	Localized disease Metastatic disease HC	183 M/ 108 F 41 M/ 31 F 31 M/ 41 F	291 (260) 72 (0) 72 (72)	58.6 (23.1-75.9) 56.9 (28.1-75.0)	Cross-sectional	<i>APOE</i>	After S, before adjuvant treatment – except neo-adjuvant CT: assessed prior to treatment.	Processing speed; Attention and working memory; Attention and simple reaction time; Attention and complex reaction time; Verbal learning and memory; Discriminability	No statistical significant difference in proportion with cognitive impairment based on presence or absence of ε4 (OR: data unavailable).

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
					56.2 (26.5-75.3)				– memory; Visual learning and memory.	
Vardy et al 2015	Colo-rectal	Localized disease CT ⁺	117 M/ 56 F	173	57.0 (mdn) (23-75)	Prospective	<i>APOE</i>	Localized: After S, before CT if given.	Processing speed; Attention and working memory; Attention and simple reaction time; Attention and complex reaction time; Verbal learning and memory; Discriminability	No statistical significant association between presence of $\epsilon 4$ and any measure of cognitive functioning (OR: data unavailable).
(Same sample as Vardy et al. 2014)		Localized disease CT ⁻	66 M/ 50 F	116 (243 localized)	60.5 (mdn) (23-75)			Metastatic disease: after 12 mo. of CT.	– memory; Visual learning and memory.	
		Metastatic disease	183 M/ 106 F	73 (0)	55.5 (mdn) (28-75)			FU after 6 and 12 mo. Also after 24 mo. in localized patients.		
		HC	40 M/ 33 F	72 (70)	58.5 (mdn) (27-75)					
Vardy et al. 2017	Breast	CT + subjective impairment	Females	44 (17)	48.4 (mdn) (30-60)	Cross-sectional	<i>APOE</i>	Free of disease after invasive BC for 5 yrs.	Visual-motor coordination; Visual attention/speed/working memory; Auditory attention/working memory;	No statistical significant difference in cognitive test scores based on presence or absence of $\epsilon 4$ (OR: data unavailable).
		CT + no subjective impairment		52 (31)	48.4 (mdn) (29-60)			17 mo. after surgery (mdn) (1.4-64)	Attention + memory + induction; Executive function; Memory + induction; Memory	

Study	Cancer type	Groups	Gender	N ^a	Age ^b	Design	Genes	Assessment times ^c	Cognitive domains ^d	Findings ^e
		CT		30 (11)	54.1 (mdn) (30-59)				+ attention + executive function; Verbal learning and memory; Visual learning and memory; Pre-morbid intellectual ability.	

Abbreviations: S = surgery; RT = radiation therapy; CT = chemotherapy; AI = aromatase inhibitors; HC = healthy controls; M = male; F = female; FU = follow-up; wks = weeks; mo = months; yrs = years; ADT = androgen deprivation therapy; MMSE = Mini mental state examination test, ε4 = APOE4.

a: Number of included patients and controls. Number of genotyped in parenthesis.

b: Group mean age and standard deviation in parenthesis unless otherwise stated. Range in parenthesis when median age is reported.

c: Timing for assessments of patients and controls relative to patients' treatment.

d: Tested cognitive domains as reported by the authors.

e: Most important findings related to the research question of the present review with accompanying odds ratios (OR) and 95% confidence intervals in parentheses, when sufficient data was available.

Table 2. Direction of results in assessed cancer populations with proportion of risk allele carriers

Gene (risk allele(s))	Breast	Lymphoma	Testicular	Colorectal	Prostate	Hematological & solid tumor
<i>APOE</i> (<i>APOE</i> ε4)	↓ Ahles et al. 2014 (23%) ↓ Ahles et al. 2003 (21%) 0 Bender et al. 2018 (NR) ↓ Cheng et al. 2016 (18%) ↓ Koleck et al. 2014 (28%) 0 Mandelblatt et al. 2014 (21%) 0 Vardy et al. 2017 (17%)	↓ Ahles et al. 2003 (21%)	↓ Amidi et al. 2016 (33%)	0 Vardy et al. 2014/15 (23%)		
<i>COMT</i>	(rs165599: G) ↓ Cheng et al. 2016 (80%) (rs4680: Val) 0 Cheng et al. 2016 (42%) ↓ Small et al. 2011 (75%)					
<i>BDNF</i> (rs6265: Met)	0 Cheng et al. 2016 (75%) 0 Ng et al. 2017 (74%)					
<i>IL6-174</i> (rs: 1800795: G)	0 Chae et al. (100%)					
<i>IL1B-511</i> (rs16944: T)						0 Peila et al. 2016 (55%)
<i>TNF-308</i> (rs1800629: G)	0 Chae et al. (17%)					
DNA repair (minor alleles)	<i>ERCC2</i> 0 Bender et al. 2018 (NR) <i>ERCC3</i> ↓ Bender et al. 2018 (NR) <i>ERCC5</i> ↓ Bender et al. 2018 (NR) <i>PARP1</i> ↓ Bender et al. 2018 (NR)					
Oxidative stress (minor alleles)	<i>CAT</i> 0 Bender et al. 2018 (NR) <i>GPX1</i> ↑ Bender et al. 2018 (NR) <i>SEPP1</i> 0 Bender et al. 2018 (NR) <i>SOD1</i> 0 Bender et al. 2018 (NR) <i>SOD2</i> 0 Bender et al. 2018 (NR)					
25 selected genes ^a	↑ Koleck et al. 2017 (NR)					
<i>GNR3</i> (rs104776: GG) ^b						↓ Gonzalez et al. 2016 (44%)

↑ indicates a statistically significant positive association between assessed SNP(s) and cognitive functioning (i.e., protective gene(s)), ↓ indicates a statistically significant negative association between assessed SNP(s) and cognitive functioning (i.e., risk gene(s)), 0 indicates no statistically significant association between assessed SNP(s) and cognitive functioning.

% of risk allele carriers in parenthesis. NR = not reported.

a: Twenty-five selected genes related to breast cancer phenotype (131 SNPs): *AURKA*, *BAG1*, *BCL2*, *BIRC5*, *CCNB1*, *CD68*, *CENPA*, *CMC2*, *CTSL2*, *DIAPH3*, *ERBB2*, *ESR1*, *GRB7*, *GSTM1*, *MELK*, *MK167*, *MMP11*, *MYBL2*, *NDC80*, *ORC6*, *PGR*, *RACGAP1*, *RFC4*, *RRM2*, *SCUBE2*. With the exception of *CMC2*, *MMP11*, and *RACGAP1*, all genes were statistically significantly associated with cognitive functioning by a SNP main effect and/or by SNP by treatment group interactions. All associations were positive, i.e., the investigated alleles acted as protective genetic factors.

b: Three hundred eighty-four selected SNPs associated with cognitive impairment, depression, fatigue, or circadian rhythm were investigated: Only rs104776, located in *GNR3*, was statistically significantly associated with cognitive functioning.